A new rate-independent tensorial model for suspensions of noncolloidal rigid particles in Newtonian fluids

Olivier Ozenda,1,2 Pierre Saramito,1,3a) and Guillaume Chambon2

1Lab. J. Kuntzmann - CNRS and Univ. Grenoble Alpes, CS 40700 - 38058 Grenoble Cedex 9, France
2Univ. Grenoble Alpes - IRSTEA, 2 rue de la Papeterie, St-Martin-d’Hères 38402, France

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Abstract

We propose a new, minimal tensorial model attempting to clearly represent the role of microstructure on the viscosity of noncolloidal suspensions of rigid particles. Qualitatively, this model proves capable of reproducing several of the main rheological trends exhibited by concentrated suspensions: Anisotropic and fore-aft asymmetric microstructure in simple shear and transient relaxation of the microstructure toward its stationary state. The model includes only few constitutive parameters, with clear physical meaning, that can be identified from comparisons with experimental data. Hence, quantitative predictions of the complex transient evolution of apparent viscosity observed after shear reversals are reproduced for a large range of volume fractions. Comparisons with microstructural data show that not only the depletion angle, but also the pair distribution function, are well predicted. To our knowledge, it is the first time that a microstructure-based rheological model is successfully compared to such a wide experimental dataset. © 2018 The Society of Rheology.

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I. INTRODUCTION

Despite the apparent simplicity of the system, concentrated suspensions of noncolloidal, rigid spheres in a Newtonian fluid display a rich and complex rheological behavior [1–3]. In the inertial limit (zero Reynolds number), particle dynamics are essentially governed by hydrodynamic interactions since lubrication forces prevent, in principle, direct contacts. Linearity and reversibility of the Stokes equation then lead to expect that the macroscopic behavior of the suspension should remain Newtonian. Thus, numerous investigations documented the increase in the effective steady-state viscosity of suspensions with particle volume fraction \( \phi \) [3–5]. However, a wealth of experimental evidence also showed the existence of non-Newtonian rheological effects as soon as \( \phi \) exceeds 0.2, typically. One of the most prominent examples is the existence of transient viscosity drops upon reversal of the shearing direction [6–8]. There is nowadays a general agreement to relate these non-Newtonian characteristics to flow-induced changes in the microstructure of the suspension [3,9,10]. The pair distribution function \( g(x) \), i.e., the likelihood of finding pairs of particles at a separation vector \( x \), has been shown to become anisotropic and lose fore-aft symmetry under shear, with development of preferential concentration and depletion orientations that depend on the volume fraction \( \phi \) [11]. This asymmetry of the microstructure is the hallmark of a loss of reversibility of the system that, again, contradicts expectations based on Stokes equation. Although the precise mechanisms remain to be elucidated, it is generally interpreted as resulting from chaotic dynamics induced by the nonlinearity of the multibody hydrodynamic interactions [12], and/or from even weak perturbations of the hydrodynamic interactions by nonhydrodynamic near-contact forces [13,14]. Note that the asymmetric microstructure, and the associated normal stresses, is also at the origin of the cross-stream particle migration process observed in these suspensions when the shear rate is heterogeneous [15,16].

Since the pioneering work of Einstein [17], most rheological models for suspensions assume an additive decomposition of the total Cauchy stress tensor \( \sigma \) into fluid and particle contributions [2,17,18]. This decomposition naturally arises from mixture theories in which macroscopic quantities are obtained from averages over both phases [19–21]. While the fluid contribution is simply given by a Newtonian model (with the viscosity of the interstitial fluid), closure relations are needed to express the particle stresses. Schematically, two groups of models are found in the literature. The first group encompasses purely macroscopic approaches that do not contain explicit reference to the suspension microstructure, apart from the volume fraction \( \phi \). The most popular representative of this class is the suspension balance model (SBM), introduced in 1994 by Nott and Brady [22] (see also [15,23]), in which particle stresses are expressed as the sum of a shear and a normal term that are both linear in shear rate, with corresponding shear and normal viscosities given by empirical functions of \( \phi \). By construction, SBM well reproduces experimental rheological measurements obtained in stationary shear. It also leads to realistic predictions concerning particle migration when the particle normal stresses are used as the driver of the migration flux, even if this approach has been questioned [21,24]. However, as a
counterpart for its simplicity, this model is devoid of any
time or strain scale, and therefore unable to account for transients observed during shear reversal experiments. In addition, earlier versions were not invariant by changes of reference frame, although an ad hoc frame-invariant extension has been proposed [16].

In the second group of models, particle stress is made explicitly dependent on the microstructure through the consideration of a local conformation tensor that is inspired from the orientation distribution tensor defined for dilute fiber suspensions (see, e.g., [25,26]). The conformation tensor, denoted \( b_i \) in this paper, is a second-order symmetric positive definite tensor describing microstructure anisotropy. Hand [27] formulated a general representation theorem for the total Cauchy stress tensor \( \sigma \) in terms of the conformation tensor \( b_i \) and the deformation rate tensor \( \dot{\gamma} \). This general representation should be closed by a constitutive equation for the evolution of the conformation tensor \( b_i \). An important constraint is that the characteristic time associated with the evolution of \( b_i \) must scale inversely with the deformation rate \( |\dot{\gamma}| \) in order to ensure strain-scaling and rate-independence of the transients, as observed experimentally (see, e.g., [8]) and imposed by dimensional analysis [9,28]. Note that the rate-independence constraint leads to constitutive equations that are formally similar to hypo-elastic models (see, e.g., [29]). For concentrated suspensions of spherical particles, Phan-Thien [30] proposed a differential constitutive equation for the conformation tensor, that led to prediction qualitatively in agreement with time-dependent experimental observations in shear reversal [6,7,31]. The structural unit used to define the conformation tensor was taken as the unit vector \( n \) joining two neighboring particles, thereby encoding a direct connection with the pair distribution function \( g(x) \). Later, Phan-Thien et al. [32,33] went further with a micro-macro model inspired from statistical mechanics for the constitutive equation of the conformation tensor, but no quantitative comparisons were obtained. In 2006, Goddard [28] revisited this approach, and proposed a model involving 12 material parameters and two tensors for describing the anisotropy. By a systematic fitting procedure of the parameters, he obtained numerical results in quantitative agreement with shear reversal experiments [7,31]. In 2006, Stickel et al. [34] (see also [35,36]) defined the conformation tensor on the base of particle mean free path, and simplified the expression of the stress to be linear in the deformation rate and the conformation tensor. Their model nevertheless also involves 13 free parameters. These authors obtained numerical results in qualitative agreement with a shear reversal experiment [7,31], but failed to obtain quantitative comparisons. In contrast with SBM model, all these tensorial models are, by construction, frame-invariant and potentially applicable to arbitrary flow geometries and conditions. As for polymer models, normal stress differences naturally arise from the use of some objective derivative of the conformation tensor \( b_i \) (see [37]). The time-dependent relaxation of this tensor, representing microstructure evolution, leads to transient responses when the loading is varied. Nevertheless, these microstructure-based models are still rather complex, and the identification of parameters is generally not obvious.

This paper is a contribution to an ongoing effort for the development of more tractable microstructure-based rheological models. With the least number of adjustable parameters, the proposed model is relatively simple, yet capable of accounting both for the macroscopic non-Newtonian rheological features of noncolloidal suspensions and for the rate-independent evolution of the microstructure. In particular, this model is able to describe the experimentally observed anisotropic effects expressed by the pair-distribution function. It also qualitatively and quantitatively agrees, for a wide range of volume fraction, with experimental data for time-dependent shear reversals.

The outline of the paper is as follows: Sec. II concerns the model statement. Section III deals with predictions in stationary shear and validation against experimental data for microstructure anisotropy and depletion angle. Section IV deals with time-dependent flows, specifically shear reversals, and present comparisons with experiments for the apparent viscosity. Finally, Sec. V presents a discussion and a conclusion.

II. MATHEMATICAL MODEL

A. Rheological model

As illustrated in Fig. 1, a key feature of the microstructure of sheared suspensions is the existence of preferential directions along which the average interdistance between particles varies: Particles are closer along the compression axis and farther apart along the depletion axis. In inertialess systems, these preferential directions depend on the concentration \( \phi \), but not on the deformation rate \( |\dot{\gamma}| \) (see [11]). The rheological model developed in this work is purely macroscopic, and hence, no attempt is made at deriving a microscopic evolution equation for the microstructure. However, to clarify the physical meaning of the conformation tensor \( b_i \) used in the sequel, and to provide a direct link with the microstructure, the following definition is proposed:

\[
b_i = d_0^2 (\ell \otimes \ell)^{-1},
\]

where \( \ell \) is the branch vector joining the centers of two neighboring particles, and \( d_0 \) is the average distance between neighboring particle centers in an isotropic configuration at rest. In what follows, the isotropic configuration at rest will be referred to as the reference configuration. In concentrated suspensions, \( d_0 \) is close to \( 2a \), where \( a \) denotes particle radius, since particles are in near-contact. The choice of an inverse relation between \( b_i \) and \( (\ell \otimes \ell) \) in Eq. (1) is motivated by the wish to have a conformation tensor whose largest principal direction is aligned with the depletion axis of the microstructure (Fig. 1). The use of \( \ell \) as the main microstructural unit is notably different from the approach followed in most earlier studies, which define a fabric tensor \( c(n \otimes n) \) based on the unit vector joining neighboring particles, \( n = \ell/|\ell| \) [3,28,32,33,38]. In particular, while the trace of the tensor \( (n \otimes n) \) is by construction equal to one, the \( (\ell \otimes \ell) \) tensor and the present \( b_i \) conformation tensor do
ensures rate-independence of the constitutive relation. Here, and assume the following relation between $s$ and $b$.

To couple the deformation tensor $b$, with a rheological model, we introduce a deformation $\gamma$, defined as

$$\gamma_c = b_c - I,$$

where $I$ is the identity tensor. For an isotropic microstructure at rest, i.e., the reference configuration, we have $b_c = I$, and thus, $\gamma_c = 0$. Hence, $\gamma_c$ can be interpreted as the average deformation of the local cages formed by neighboring particles, with respect to the reference configuration. Note that a similar concept of cages formed by nearest neighbors was already introduced in [34]. We then assume that the total deformation of the suspension $\gamma$ can be decomposed into the sum of the cage deformation $\gamma_c$ and of a viscous deformation $\gamma_v$, which represents the global rearrangements of neighboring particles through the flow

$$\gamma = \gamma_c + \gamma_v.$$  

(2)

The next step is to write a constitutive equation for the variable $\gamma_c$. To that aim, we define a local stress, denoted as $\tau_c$, and assume the following relation between $\tau_c$ and $\gamma_c$:

$$\tau_c = \eta_c |\dot{\gamma}| \gamma_c,$$  

(3)

where the tensorial norm $|\xi|$ is defined as $|\xi| = [(1/2) \xi : \xi]^{1/2}$ for any second-order tensor $\xi$. Observe that this expression is linear with respect to $\gamma_c$ and involves a factor $\eta_c |\dot{\gamma}|$ that ensures rate-independence of the constitutive relation. Here, $\eta_c$ is a constant coefficient with the dimension of a viscosity. This local stress $\tau_c$ is also assumed to be linearly related to the rate of viscous deformation $\dot{\gamma}_v$ through

$$\tau_c = \eta_\epsilon \dot{\gamma}_v,$$  

(4)

where $\eta_\epsilon \geq 0$ is an associated viscosity. Finally, differentiating Eq. (2), replacing $\dot{\gamma}_v$ from Eq. (4) and using Eq. (3), the following linear differential equation is obtained for $\gamma_c$:

$$\ddot{\gamma}_c + \frac{\eta_\epsilon}{\eta_c} |\dot{\gamma}| \gamma_c = \dot{\gamma}.$$  

The previous constitutive equation is completed by the following expression for the total Cauchy stress tensor of the suspension:

$$\sigma = -p I + \eta \dot{\gamma} + \tau,$$

where $\tau = \eta_d |\dot{\gamma}| \gamma_c + \eta_d (\gamma_c \otimes \gamma_c) : \gamma_c$. The first term involves $p$, the pressure in the fluid phase. The second term represents the base viscosity of the suspension, expressed here by $\eta \geq 0$. Finally, the third term represents the microstructure stress $\tau$. This microstructure stress itself expresses as the sum of the local stress $\tau_c$ and of a quadratic term with respect to $\gamma_c$. Such quadratic terms commonly derive from the closure of a fourth-order structure tensor in statistical micro-macro models for spherical or fiber suspensions (see, e.g., [25,26]). It involves an additional parameter $\eta_d$ with the dimension of a viscosity. Note that this last term writes equivalently $\eta_d |\dot{\gamma}| \gamma_c \gamma_c$ and, thus, the two tensors $\tau$ and $\gamma_c$ are colinear and share the same eigensystem. The influence of this additional quadratic contribution will be analyzed in the following.

In the present model, the total stress is thus split into the stress that would be observed for an isotropic microstructure (the base viscosity), and the stress induced by the anisotropic arrangement of the microstructure (represented by $\tau$). This stress decomposition could appear similar to the decomposition $\sigma = \sigma_f + \sigma_p$ between fluid $\sigma_f$ and particle $\sigma_p$. 

FIG. 1. (left) Schematic representation of the branch vectors $f$ joining neighboring particles in a sheared suspension. (right) Representation of the local conformation tensor $b$, by an ellipse. The compression axis is the line and the depletion axis is the dashed line. In the electronic version they are drawn in red and in green, respectively. Background photography taken from [39], Fig. 4.7 ($\phi = 0.55$).
stresses, used in classical mixture theories [20] and in most suspensions models, such as SBM [10,22]. It shall be emphasized however that, in our approach, the base viscosity $\eta$ also contains a contribution from the particle phase. More precisely, for the present model, we have $\sigma = -p_f I + \eta_0 \dot{\gamma}$ and $\sigma_p = (\eta - \eta_0) \dot{\gamma} + \tau$, where $\eta_0$ is the viscosity of the suspending fluid. Roughly, the base viscosity $\eta$ can be seen as accounting for long-range hydrodynamic interactions between particles, while the microstructure stress $\tau$ accounts for short-range, hydrodynamic and contact, interactions. As a consequence, we expect all the parameters of the model, including $\eta$, $\eta_0$, $\eta_\tau$, and $\eta_d$, to depend on the volume fraction $\phi$. Finally, also recall that $\text{tr}(\gamma_c)$, and thus $\text{tr}(\tau)$, are not necessarily zero, such that the microstructure stress $\tau$ may also contribute to the total pressure $\rho = -1/3 \text{tr}(\sigma)$ of the suspension. Indeed, $\rho = \rho_f + \rho_p$ with $\rho_p = -1/3 \text{tr}(\sigma_p) = -1/3 \text{tr}(\tau)$, as the mixture is assumed to be isochoric with $\text{tr}(\gamma) = 0$.

The time derivative of $\gamma$ is given by the upper-convected tensor derivative (see, e.g., [37], chap 4), denoted hereafter $\partial \gamma_c / \partial t =$ $\partial \gamma_c / \partial t + (u \nabla) \gamma_c - \gamma_c \nabla u^T$.

The deformation rate $\gamma$ is identified to two times the symmetric part of the velocity gradient tensor $D(u) = (\nabla u + \nabla u^T)/2$, where $u$ is the velocity field of the mixture. The constitutive equations thus become

$$2D(u) = \frac{\partial \gamma_c}{\partial t} + x[2D(u)] \gamma_c,$$

$$\sigma = -p_f I + 2\eta \gamma_c + \eta_\tau \{x[2D(u)] + \beta(D(u) : \gamma_c)\} \gamma_c,$$

where $x = \eta_\tau / \eta_\tau$ and $\beta = 2\eta_d / \eta_\tau$ are dimensionless parameters. These constitutive equations can be seen as a rate-independent variant of a viscoelastic Oldroyd [40] model with an additional quadratic term for the total stress. Rate-independence is guaranteed by the use of an "effective elastic modulus" $\eta_\tau |\gamma| / \text{proportional to the deformation rate}.$

### B. Problem statement

Coupling the above constitutive model with the mass and momentum conservation equations of the mixture, the problem can be formulated as a system of three equations for three unknowns: $\gamma_c$, the particle cage deformation; $u$, the mixture velocity; and $p_f$, the pressure in the fluid phase

$$\frac{\partial \gamma_c}{\partial t} + x[2D(u)] \gamma_c - 2D(u) = 0,$$

$$\rho_f \frac{Du}{Dt} = \text{div} \{ -p_f I + 2\eta D(u) \}$$

$$- \text{div} \left\{ \eta_\tau \gamma_c (x[2D(u)] + \beta(D(u) : \gamma_c)) \right\} = \rho g,$$

$$\text{div} u = 0.$$
proportional to $\gamma_0^2$ [37] (p. 157). In the present model, normal stresses proportional to $|\gamma_0|$ arise from the use in Eq. (3) of an effective elastic modulus that is itself proportional to $|\gamma_0|$, as required to obtain a rate-independent rheological behaviour. The particle pressure $p_p = -\text{tr}(\tau)/3$ is given by, for the present stationary simple shear flow

$$p_p = -(2/3)x^{-1}(1 + \beta x^{-2})\eta_c|\gamma_0|.$$  \hspace{1cm} (8d)

Thus, $p_p$ is also proportional to the shear rate $|\gamma_0|$, again in agreement with experimental observations [42]. Finally note that, from Eq. (8b), the shear stress component $\sigma_{xy}$ also scales linearly with $\dot{\gamma}_0$, as expected.

Let us now turn to microstructural aspects, described by the particle cage deformation tensor $\gamma_c$. As we only consider stationary simple flows in this paragraph, we can assume without loss of generality that $\dot{\gamma}_0 > 0$. From Eq. (8a), the eigenvector associated with the largest eigenvalue of the tensor $\gamma_c$ makes an angle with the $x$ axis denoted as $\theta_c$ and given by

$$\theta_c = \tan \left( -\frac{1 + \sqrt{1 + 2x^2}}{x} \right) = \frac{1}{2} \tan (\alpha).$$  \hspace{1cm} (9)

Since $b_c = I + \gamma_c$, the tensors $b_c$ and $\gamma_c$ share the same eigensystem. The angle $\theta_c$ is thus also associated with largest eigenvalue of $b_c$, i.e., to the dilation direction of the microstructure: In this direction, the probability to find two particles in contact is the smallest, and $\theta_c$ thus corresponds to the so-called depletion angle. Experimental data for the depletion angle $\theta_c$ versus volume fraction $\phi$ are presented by Blanc [39], Fig. 5.11, and are reproduced in Fig. 2 (left), together with a best fit using a second-order polynomial denoted by $\theta_c(\phi)$. Assuming $\theta_c(0) = 0$ and $\theta_c(\phi_m) = \pi/4$, with $\phi_m$ the maximum volume fraction of the suspension, the second-order polynomial template can be expressed as

$$\theta_c(\phi) = \delta_c \phi + \left( \frac{\pi}{4} - \delta_c \phi_m \right) \left( \frac{\phi}{\phi_m} \right)^2,$$  \hspace{1cm} (10)

where $\phi_m = 0.571$ and $\delta_c = 0.661$ are adjusted through a nonlinear least square method, as implemented in gnuplot [43]. Through Eq. (9), the dependence upon $\phi$ of the $\alpha$ parameter of the present model is thus directly deduced from the experimental data (see Fig. 2, right)

$$\alpha(\phi) = \tan \left( 1.32 \phi + 2.48 \phi^2 \right).$$  \hspace{1cm} (11)

In experiments or in numerical simulations, the microstructure of suspensions is generally represented through the pair distribution function $g(x)$. As an example, Fig. 3 shows, for a suspension at $\phi = 0.35$ submitted to a stationary shear flow, the experimentally determined evolution of $g(x)$ in the shear plane $(x, y)$ [11]. Recall that $g(x)$ is the conditional probability, when there is already a particle in $x_0 = 0$, to find a particle at any location $x \in \mathbb{R}^2$, normalized by the average particle density $\bar{\phi}/(4\pi a^3/3)$ (where $a$ is particle radius). Observe in Fig. 3 that $g$ is zero in the central disk of diameter $2a$, due to nonpenetration of particles. It is maximum in a thin band $[2a, 2a + \delta]$ and then tends to 1 when the distance increases. Most of the relevant microstructure information is encoded in this thin band, whose thickness $\delta$ is sufficient to include contact and near-contact interactions, and which thus describes the average arrangement of neighboring particles.

**FIG. 2.** (left) Depletion angle $\theta_c$ versus volume fraction $\phi$: Experimental data from [39], Fig. 5.11, and best fit with the second-order polynomial (10). (right) Dependence upon $\phi$ of model parameter $\alpha$. 

\[ \text{FIG. 2. (left) Depletion angle } \theta_c \text{ versus volume fraction } \phi: \text{ Experimental data from [39], Fig. 5.11, and best fit with the second-order polynomial (10). (right) Dependence upon } \phi \text{ of model parameter } \alpha. \]
As shown in Eq. (13), the first Fourier mode of $p(\theta)$ can be expressed explicitly in terms of the parameter $\pi$ and the depletion angle $\theta_c$: See Appendix A, relation (A3). This prediction is compared in Fig. 4 with experimental data from Blanc [39], Figs. 5.9 and 5.10. Observe that both predicted (in black) and experimental (in dotted-red) curves present two main lobes, separated by the depletion angle direction. The experimental probability distribution is however also affected by higher-frequency modes, which are potentially very sensitive to both experimental errors from image preprocessing and the choice of the width of the thin band $[2\alpha, 2\alpha + \delta]$ used to integrate the pair distribution function, as pointed out by Blanc [39], Fig. 5.6. Figure 5 represents the five first Fourier coefficients of the experimental data. Observe in general the rapid decrease in these coefficients, as expected. However, when the volume fraction $\phi$ becomes close to the maximal fraction $\phi_m$, the second mode dominates. A similar behavior has already been experimentally observed for dry granular material [44] and can be explained by steric exclusion of neighbors. This second Fourier mode cannot be determined by the present model, as explained in Appendix A.

IV. TIME-DEPENDENT SIMPLE SHEAR FLOWS

A. Shear startup, reversal and pause

For simple shear flows, the problem reduces to the time-dependent linear system of ordinary differential equations (7a)–(7f). For a constant applied shear rate $\dot{\gamma}_0$, the system can be explicitly solved by performing the change of variable $\gamma = |\dot{\gamma}_0| t$, where $\gamma$ represents the deformation. The solution writes

$$\gamma_{e,xy}(\gamma) = (1 - e^{-\pi\gamma}) \text{sgn}(\dot{\gamma}_0) x^{-1} + e^{-\pi\gamma},$$

and, then, the total stress tensor $\sigma$ is explicitly given in Eqs. (7e) and (7f).

For a startup from a material at rest at $t = 0$ with an isotropic microstructure, we have $\gamma_e(0) = 0$. If a constant shear rate $\dot{\gamma}_0 > 0$ is imposed for $t > 0$, the solutions (14a)–(14b) become

$$\gamma_{e,xy}(\gamma) = (1 - e^{-\pi\gamma}) x^{-1},$$

$$\gamma_{e,xx}(\gamma) = (1 - e^{-\pi\gamma}) 2x^{-2} - e^{-\pi\gamma} 2x^{-1} \gamma.$$

As shown in Fig. 6, this solution displays an exponential relaxation toward the steady state solution. Remark that the graph of the solution versus shear deformation $\gamma = \dot{\gamma}_0 t$ is invariant when changing the value of shear rate $\dot{\gamma}_0 > 0$. This constitutes a fundamental property of rate-independent materials.

Let us now turn to a case of shear reversal: The material is first sheared with a negative shear rate $-\dot{\gamma}_0$ until a first stationary regime is reached. Then, at $t = 0$, the shear rate is suddenly reversed to the opposite value $+\dot{\gamma}_0 > 0$. In that
case, $\gamma_{e,xy}(0) = -\frac{a}{2}$, $\gamma_{e,xx}(0) = 2a^{-2}$ and the solutions (14a) and (14b) become

$$
\gamma_{e,xy}(\gamma) = (1 - 2e^{-\gamma})x^{-1},
\gamma_{e,xx}(\gamma) = (1 - e^{-\gamma})2a^{-2} + e^{-\gamma}(2a^{-2} - 4a^{-1}x^2).
$$

As shown in Fig. 7, at $t = 0$, the particle cages, represented by the conformation tensor $b_e = I + \gamma_e$ as an ellipse, start to rotate toward a symmetrically opposite position. According to Eq. (9), the depletion angle increases from $\theta_e(0) = -\frac{\text{atan}(x)}{2}$ at $t = 0$ to reach asymptotically its new value $\theta_e(\infty) = +\frac{\text{atan}(x)}{2}$. With the choice $x = \sqrt{3}$ made
When the shear deformation $c$ vanishes, the ellipse axis associated with the largest eigenvalue is equal to the critical value $c_0$. Evolution versus shear deformation

FIG. 7. Shear reversal at $t=0$: evolution versus shear deformation $\gamma = \dot{\gamma}_0 t$ of the conformation tensor $b_{0} = I + \gamma_{c}$ represented as an ellipse. Eigenvector associated to compression (resp. dilation), i.e., to the smallest (resp. largest) eigenvalue of $b_{0}$, is represented as the smallest (resp. largest) axis. In the electronic version it is drawn in red (resp. green). On the bottom, plots for the depletion angle $\theta_{e}(t)$, and the $\gamma_{c}$ tensor components versus shear deformation $\gamma = \dot{\gamma}_0 t$. (Parameter $\alpha$ is taken as $\sqrt{3}$ in this plot.)

For a general $\gamma(t)$ evolution, the system of ordinary differential equations (7a)–(7f) is solved using lsode library, as interfaced in octave software. Figure 8 plots the response in stress components and depletion angle when applying a succession of startups and reversals, possibly separated by pauses. In agreement with experimental observations [7] (Fig. 3), when the imposed shear rate changes from $\dot{\gamma}_0 \neq 0$ to zero, i.e., during a pause, both particle pressure $p_{p} = - \text{tr} \tau / 3 = - \tau_{xx} / 3$ and shear stress $\sigma_{xy}$ instantaneously fall to zero. Observe however that the depletion angle remains constant during the pause: The microstructure is conserved. This latter feature can be deduced from constitutive equation (5a), which simply reduces to $\partial \gamma_{c} / \partial t = 0$ when the shear rate is zero. After a pause, if the shear restarts suddenly in the same direction, experimental observations [7] (Fig. 3) showed that both particle pressure $p_{p} = - \tau_{xx} / 3$ and shear stress $\sigma_{xy}$ jump instantaneously to their previous stationary values. Conversely, if the shear rate restarts suddenly in the direction opposite to its previous value, e.g., $- \dot{\gamma}_0$, experimental observations by Narumi et al. [31], Fig. 3, showed that particle pressure $p_{p}$ progressively increases from zero to its previous stationary value while shear stress $\sigma_{xy}$ progressively decreases from zero to the opposite of its previous stationary value. As shown in Fig. 8, all these features are remarkably well captured by the present model.

The apparent viscosity of the suspension is defined as $\eta_{app} = \sigma_{xy} / \dot{\gamma}$. From Eq. (7e), we obtain

\[
\gamma(t) = \dot{\gamma}_0 t
\]

FIG. 8. Shear evolution with pauses, startups, and reversals: Evolution of imposed shear rate, depletion angle, and stress components versus shear deformation (choice of parameters: $\alpha = \sqrt{3}$, $\beta = 5$, $\eta_{p} = 10$ Pa s, and $\eta = 10$ Pa s).
Notice that the apparent viscosity is independent of the shear rate. Figure 9 presents the evolution of the apparent viscosity for a shear reversal, together with a sensitivity analysis to the model parameters. The apparent viscosity shows three regimes after the shear reversal: First, an instantaneous decrease is observed. The apparent viscosity then continues to decrease with a smooth shape until a minimum is reached. Finally, the apparent viscosity increases and relaxes exponentially to its stationary value. As shown in Fig. 9, these different regimes are diversely affected by the model parameters $\alpha$, $\beta$, $\eta_e$, and $\eta$. The parameter $\alpha$ controls the relaxation of the solution to its stationary value: The larger $\alpha$, the faster the solution reaches the stationary regime. In fact, $\alpha^{-1}$ interprets as a characteristic deformation for reaching the stationary regime. The parameter $\beta$ controls the existence of the smooth minimum and the shape of the curve around this minimum. When $\beta = 0$, there is no smooth minimum, and the apparent viscosity is monotonically increasing immediately after the shear reversal. The viscosity $\eta_e$ influences the stationary plateau, while the minimum remains unchanged. Finally, the parameter $\eta$ globally shifts the apparent viscosity: Note that this effect is obvious when considering Eq. (15).

**B. Comparison with experiments**

We quantitatively compared our model to the unsteady shear flow experiments of Blanc [39], sec 3.3. (see also [8, 11, 47]. This author performed shear reversal experiments in a Couette rheometer. The suspensions were prepared with polymethyl methacrylate (PMMA) spherical particles in a Newtonian oil at various volume fractions $\phi$ ranging from 0.30 to 0.50. The experiments were performed at an imposed torque whose value was adjusted in order to obtain, for each volume fraction, similar angular velocities in the stationary regime. All geometrical and material parameters of the experiments are summarized in Table I. Note that, experimentally, the suspensions have been found to be slightly shear-thinning, with a power index on the order 0.9 (see [39]). This slight shear-thinning is not considered in the following comparison with our model.

Neglecting the variations inside the gap, we assume the shear rate as uniform and consider this experiment as a simple shear flow. The problem is then described again in Eqs.

\[
\eta_{\text{app}}(\dot{\gamma}) = \eta + \eta_e \sqrt{\alpha \dot{\gamma}} (x + \beta \eta_e \sqrt{\gamma}).
\]  

(15)
(7a)–(7f), where now $\sigma_{y}$ is imposed and $\dot{\gamma}$ is unknown. Observe that, based on relation (7e), the unknown shear rate $\dot{\gamma}$ expresses explicitly in terms of the unknown $\gamma_{e}$, $\phi_m$, and the given data $\sigma_{y}$, (see Appendix B). This expression can then be inserted in Eq. (7a), yielding a nonlinear scalar ordinary differential equation for $\gamma_{e}$. This equation does not admit, to our knowledge, an explicit solution and should be solved numerically. As in the previous paragraph, the numerical procedure uses Isode library [45].

The present model involves four parameters that need to be determined: $z$, $\beta$, $\eta_c$, and $\eta$. The $\alpha$ parameter has already been identified for this experimental setup, and its dependence upon $\phi$ is given in Eq. (11). For each volume fraction, identification of the three other parameters can be performed based on the evolution of the apparent viscosity $\eta_{app} = \sigma_{y}/\dot{\gamma}$ during the shear reversals, as illustrated in the previous sensitivity analysis. Figure 10 presents direct comparisons between model prediction and experimental measurements of the apparent viscosity. Observe that the sudden decrease in the apparent viscosity after shear reversal, and its relaxation to the stationary value, is qualitatively and quantitatively very well reproduced by the present continuous model, and this for the different volume fractions investigated. For $\phi = 0.47$, the apparent viscosity measured during the experiments displays a very slowly increasing trend for large deformations $\gamma$. This feature, which is obviously not captured by the model, could be due to slow migration of the particles induced by the small variations of the shear rate in the Couette gap.

Table II summarizes, for each volume fraction, the values of the four adjustable parameters $z$, $\beta$, $\eta_c$, and $\eta$ provided by the fitting. Figure 11 shows the dependency upon $\phi$ of the parameters $\beta$, $\eta_c$, and $\eta$. The regularity of these dependencies suggests the existence of material functions with the following forms:

\begin{align}
\beta(\phi) &= \tilde{\beta} \left(1 - \frac{\phi}{\phi_m}\right)^{-2}, \\
\eta_c(\phi) &= \tilde{\eta}_c \left(1 - \frac{\phi}{\phi_m}\right)^{-4}, \\
\eta(\phi) &= \eta_0 \left(1 - \omega + \frac{5}{2} \frac{2\omega}{\phi_m} \phi \right) + \eta_0 \omega \left(1 - \frac{\phi}{\phi_m}\right)^{-2}.
\end{align}

Hence, $\beta$ and $\eta_c$ vs $\phi$ are expressed by simple power-law dependencies diverging at $\phi = \phi_m$, where $\phi_m$ is the maximum volume fraction of the suspension. Expression (16c) for $\eta(\phi)$ is an original extension of Krieger and Dougherty [48]'s rule, associated with the $-2$ power-law index, where $\omega$ is a balance parameter. Note that, when the volume fraction is small, the first order development of Eq. (16c) coincides with Einstein [17]'s rule $\eta(\phi)/\eta_0 = 1 + 5\phi/2 + C(\phi^2)$ for any value of $\phi_m$ and $\omega \in [0, 1]$. Best-fitted values of all the material parameters involved in Eqs. (16a)–(16c) are indicated in Table III. Finally, recall that the evolution of $\alpha$ upon $\phi$ was obtained independently, and is given in Eq. (11).

V. DISCUSSION AND CONCLUSIONS

This paper proposes a minimal tensorial model attempting to clearly represent the role of microstructure on the apparent viscosity of noncolloidal suspensions of rigid particles. The contribution to the total stress of the suspension of local anisotropic particle arrangements, is accounted for through a specific microstructure stress. This microstructure stress is expressed as a function of a local conformation tensor, whose evolution is governed by a rate-independent viscoelasticlike differential equation. Qualitatively, this model proves capable of reproducing several important non-Newtonian trends exhibited by concentrated suspensions. First, the development of an anisotropic, and fore-aft asymmetric, microstructure in simple shear is well captured by the conformation tensor. As expected, the stationary microstructure is independent of shear rate [see Eq. (9)]. The depletion angle, which corresponds to the largest eigenvalue of the conformation tensor, is a function of a single model parameter $\alpha$ that can be adjusted to fit experimental observations. Second, in time-dependent cases, the model predicts transient responses associated with the progressive relaxation of the microstructure toward its stationary state. In agreement with experimental observations, these transient responses occur for shear reversals (due to the associated reversal of anisotropy direction), but not for changes of shear rate with the same sign (since microstructure is rate-independent). Also in agreement with experiments, the microstructure remains frozen during shear pauses, and its evolution during the transients is fully controlled by the shear deformation. The critical deformation to reach the stationary regime is directly related, again, to the parameter $\alpha$.

Overall, the model presented here includes only 4 constitutive parameters. Besides $\alpha$, two viscosities $\eta$ and $\eta_c$ represent the base viscosity of the suspension for an isotropic microstructure and the excess viscosity induced by microstructure anisotropy, respectively, while the nonlinearity parameter $\beta$ controls the early stage of the transients. This limited number of parameters, and their clear physical meaning, is an advantage compared to most previous microstructure-based rheological models proposed in the literature [28,32,34]. In particular, parameter identification for quantitative comparisons with experimental data is relatively straightforward. We showed that the model is capable of quantitatively reproducing the complex transient evolution of apparent viscosity observed after shear reversals for a
large range of volume fractions. Both the immediate response, characterized by an instantaneous drop followed by a smooth minimum, and the subsequent exponential relaxation are well captured. Note that the quadratic term in Eq. (5b), and the parameter $\beta$, is essential to obtain the smooth minimum observed in experimental data. To our knowledge, it is the first time that a microstructure-based rheological model is successfully compared to such a wide experimental data set. This comparison allowed us to derive material functions for the evolution of the constitutive parameters with volume fraction. Noteworthy, the values of the parameter $\alpha$ were determined from microstructure data (depletion angle), and then applied without adjustment to model the transient response. This validates the use of a

![Graphs showing shear reversal: Apparent viscosity $\eta_{app}$ vs deformation $\gamma$. Comparison between experimental measurements from Blanc [39] for a suspension of PMMA particles in a Couette geometry and computations with the present model. For each volume fraction $\phi$, the three model parameters $\beta$, $\eta_c$, and $\eta$ were obtained through a best-fit procedure. Parameter values are indicated in Table II.](image-url)
single parameter controlling both microstructure anisotropy and the characteristic deformation during transients.

As a further quantitative validation, the model also proved capable of reproducing not only the depletion angle, but the overall shape of the pair distribution function. Here also, it is the first time, to our knowledge, that a continuous model is used to obtain detailed microstructural predictions in agreement with experimental data. Accounting for higher-frequency modes would further improve the prediction of the pair distribution function both for high and low values of the

<table>
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<tr>
<th>$\phi$</th>
<th>$T_m,(10^{-3},\text{Nm})$</th>
<th>$\alpha$</th>
<th>$\beta$</th>
<th>$\eta_e,(\text{Pa},\text{s})$</th>
<th>$\eta,(\text{Pa},\text{s})$</th>
</tr>
</thead>
<tbody>
<tr>
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<td>0.715</td>
<td>0.79</td>
<td>0.35</td>
<td>3.5</td>
</tr>
<tr>
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<td>1.5</td>
<td>2.9</td>
<td>6.6</td>
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<tr>
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<td>1.80</td>
<td>3.0</td>
<td>9.9</td>
<td>11.5</td>
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<tr>
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<td>5.3</td>
<td>21</td>
<td>17</td>
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<tr>
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<td>3.38</td>
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<td>97</td>
<td>79</td>
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</table>

TABLE II. Model parameters used to fit the experiments of Blanc [39].

<table>
<thead>
<tr>
<th>$\phi$</th>
<th>$\delta$ (rad)</th>
<th>$\beta$</th>
<th>$\eta_e,(\text{Pa},\text{s})$</th>
<th>$\omega$</th>
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<td>0.152</td>
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<td>0.652</td>
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TABLE III. Fitting parameters involved in expressions (10) and (16a)–(16c).

FIG. 11. Evolution with $\phi$ of the model parameters $\beta$, $\eta_e$, and $\eta$, and best fits provided by expressions (16a)–(16c).
volume fraction, but would require the consideration of higher-order structure tensors in the model. Other promising prospects include the addition of a friction term to the microstructure stress, which could prove important for modeling volume fractions close to $\phi_m$ and/or experiments performed at an imposed particle pressure [49].

Future works shall also consider in more details the issue of normal stresses. Indeed, another important non-Newtonian rheological feature exhibited by noncolloidal suspensions is the development of normal stress differences in simple shear flow, with negative values of $N_2$, an ongoing debate concerning the sign of $N_1$, and a ratio $|N_2/N_1|$ on the order of three, typically [45,50–53]. In agreement with experimental observations, our model effectively predicts that microstructure anisotropy is associated with the existence of normal stresses proportional to shear rate. However, expressions of stresses in simple shear lead to $N_2 = 0$ and $N_1 > 0$ [see Eq. (8c)]. As a consequence, the particle pressure $p_p$, expressed in Eq. (8d), has a sign opposite to that expected. This indicates that, although the minimal model presented here is capable to reproduce microstructure evolutions, additional degrees of freedom would be needed to capture the full rheological behavior of suspensions. These improvements will be required to consider, e.g., more complex non-viscousomeric flows such as extensional flows [54] or flows around an obstacle [55,56]. These improvements are also required in order to predict particle migration, by considering the microstructure stress $\tau$ as the driver of the particle flux, through an approach analogous to SBM [16].

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APPENDIX A: COMPUTATION OF THE PROBABILITY DISTRIBUTION FUNCTION

Let $\mu_\pm$ be the two eigenvalues of the fabric tensor $(\vec{n} \otimes \vec{n})$, with $\mu_- \leq \mu_+$, and $e_- = (\cos(\theta_0), \sin(\theta_0))$ and $e_+ = (-\sin(\theta_0), \cos(\theta_0))$ the two corresponding eigenvectors, where $\theta_0$ is the depletion angle. Expressing Eq. (12) in the eigenbasis, observing that $\vec{n} e_- = \cos(\theta - \theta_0)$ and $\vec{n} e_+ = \sin(\theta - \theta_0)$, we get

\[ p(\theta) = \sum_{k \geq 0} p_k \cos(2k(\theta - \theta_0)), \]

where $p_k \in \mathbb{R}$, $k \geq 0$ are the Fourier coefficients, we obtain from Eqs. (A1a) and (A1b), after computation of the integrals, that $p_0 = 1/(2\pi)$ and $p_1 = -1$ for all $\mu_+ - \mu_+ / (2\pi)$. The coefficients $p_k$ for $k \geq 2$ remain undetermined. Observe from Fig. 5 that, in experimental data, these coefficients present a fast decrease. By retaining only the two first coefficients, the present model is able to predict the following probability distribution:

\[ p(\theta) = \frac{1}{2\pi} \left(1 - (\mu_+ - \mu_-) \cos(2(\theta - \theta_0))\right). \quad (A2) \]

Note that such expression was previously used by Troadec et al. [44], Eq. (1). Remark that $\theta_0$ minimizes $p(\theta)$: As expected, the depletion angle is the direction where the probability to find a neighbor particle is minimal.

In the present model, the fabric tensor is expressed from Eq. (13) by $(\vec{n} \otimes \vec{n}) = \vec{b}_e^{-1} / \text{tr}(\vec{b}_e^{-1})$ with $\vec{b}_e = \vec{I} + \gamma_e$. Accordingly, the two eigenvalues of the fabric tensor $(\vec{n} \otimes \vec{n})$ are

\[ \mu_+ = \frac{1 + \lambda_-}{(\lambda_+ + 1)^2 + (\lambda_- + 1)^2}, \]
\[ \mu_- = \frac{1 + \lambda_+}{(\lambda_- + 1)^2 + (\lambda_+ + 1)^2}, \]

where $\lambda_\pm$ denotes the two eigenvalues of $\gamma_e$. From Eq. (8a), we have $\lambda_\pm = (1 \pm \sqrt{1 + x^2}) / x^2$. Then $\mu_+ - \mu_- = 1/\sqrt{1 + x^2}$ and the previous relation (A2) writes explicitly in terms of the model parameter $x$ only

\[ p(\theta) = \frac{1}{2\pi} \left(1 - \frac{1}{\sqrt{1 + x^2}} \cos(2(\theta - \theta_0))\right). \quad (A3) \]

where $\theta_0$ is expressed explicitly versus $x$ in Eq. (9).

APPENDIX B: SYSTEM OF ODE FOR IMPOSED STRESS

Assuming a strictly positive apparent viscosity, we have $\sgn(\dot{\gamma}(t)) = \sgn(\sigma_{xy}(t))$ for all time $t \geq 0$ and relation (7e) leads to the following explicit expression of the shear rate $\dot{\gamma}$ versus the given shear stress $\sigma_{xy}$ and the unknown $\gamma_{e,xy}$:

\[ \dot{\gamma}(t) = \begin{cases} \sigma_{xy}(t) / \eta + \eta_r \left( \sgn(\sigma_{xy}(t)) \gamma_{e,xy}(t) + \beta_{e,xy}(t) \right) & \text{when } \sigma_{xy}(t) \neq 0, \\ 0 & \text{otherwise}. \end{cases} \quad (B1) \]

This expression of the shear rate $\dot{\gamma}$ is replaced in Eqs. (7a) and (7b) and we then obtain a nonlinear ordinary differential equations (ODE) in terms of the two unknowns $\gamma_{e,xy}$ and $\gamma_{e,xx}$. These EDO are closed by two initial conditions $\gamma_{e,xy}(0) = \sgn(\sigma_{xy}(0)) x^{-1}$ and $\gamma_{e,xx}(0) = 2x^{-2}$. For the shear
reversal, $\sigma_{ij}(0)$ is chosen and $\sigma_{ij}(t) = -\sigma_{ij}(0)$ for all $t > 0$. For the shear reversal experiments of Blanc [39] with an imposed torque $T_m$, $\sigma_{ij}(0)$ is given in Table I. After computation of $\tau_{2,xy}$ and $\tau_{2,xx}$, the rate of deformation $\Gamma(x)$ is computed from Eq. (B1), and finally, the deformation $\gamma(t)$ is obtained by a numerical integration as $\int_0^\infty \Gamma(x) \, dx$.

References


