

# Computing over $\mathbb{Z}, \mathbb{Q}, K[X]$

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M2-MIA Calcul Exact

# Outline

Introduction

Chinese Remainder Theorem

Rational reconstruction

Problem Statement

Algorithms

Applications

Dense CRT codes

Extension to Cauchy Interpolation

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## Introduction

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# Exact computations and arithmetic

## Domain of Computation

- ▶  $\mathbb{Z}, \mathbb{Q}$   $\Rightarrow$  variable size
- ▶  $\mathbb{Z}_p, \text{GF}(p^k)$   $\Rightarrow$  fixed size but specific arithmetic
- ▶  $K[X]$  for  $K = \mathbb{Z}_p, \mathbb{Z}, \dots$

Key idea: change of representation

## Deal with size of arithmetic: reduce to $\mathbb{Z}_p$

- ▶ Chinese Remainder Algorithm:  $\mathbb{Z} \rightarrow \mathbb{Z}_m \rightarrow \mathbb{Z}_{m_1} \times \cdots \times \mathbb{Z}_{m_k}$

$$x < m_1 \times \cdots \times m_k \in \mathbb{Z} \Leftrightarrow (x_1 \in \mathbb{Z}_{m_1}, \dots, x_k \in \mathbb{Z}_{m_k})$$

- ▶  $p$ -adic Lifting:  $\mathbb{Z} \rightarrow \mathbb{Z}_{p^k} \rightarrow \mathbb{Z}_p$

$$x = x_0 + x_1 p + \dots + x_k p^k < p^{k+1} \in \mathbb{Z}_{p^k} \Leftrightarrow (x_1 \in \mathbb{Z}_p, \dots, x_k \in \mathbb{Z}_p)$$

- ▶ Rational reconstruction:  $\mathbb{Q} \rightarrow \mathbb{Z}_k \rightarrow \mathbb{Z}_p$

$$x = \frac{n}{d} = x_0 + x_1 p + \dots + x_k p^k [p^{k+1}] \Leftrightarrow (x_1 \in \mathbb{Z}_p, \dots, x_k \in \mathbb{Z}_p)$$

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# Chinese remainder algorithm

If  $m_1, \dots, m_k$  pairwise relatively prime:

$$\mathbb{Z}/(m_1 \dots m_k)\mathbb{Z} \cong \mathbb{Z}/m_1\mathbb{Z} \times \dots \times \mathbb{Z}/m_k\mathbb{Z}$$

Computation of  $y = f(x)$  for  $f \in \mathbb{Z}[X]$ ,  $x \in \mathbb{Z}^m$

**begin**

  Compute an upper bound  $\beta$  on  $|f(x)|$ ;

  Pick  $m_1, \dots, m_k$ , pairwise prime, s.t.  $m_1 \dots m_k > \beta$ ;

**for**  $i = 1 \dots k$  **do**

    Compute  $y_i = f(x \bmod m_i) \bmod m_i$

  Compute  $y = \text{CRT}(y_1, \dots, y_k)$

$$\begin{aligned}\text{CRT : } \mathbb{Z}/m_1\mathbb{Z} \times \dots \times \mathbb{Z}/m_k\mathbb{Z} &\rightarrow \mathbb{Z}/(m_1 \dots m_k)\mathbb{Z} \\ (x_1, \dots, x_k) &\mapsto \sum_{i=1}^k x_i \Pi_i Y_i \bmod \Pi\end{aligned}$$

where  $\left\{ \begin{array}{lcl} \Pi & = & \prod_{i=1}^k m_i \\ \Pi_i & = & \Pi / m_i \\ Y_i & = & \Pi_i^{-1} \bmod m_i \end{array} \right.$

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Evaluate  $P$  in  $a$

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## Polynomials

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### Evaluation:

$$P \bmod X - a$$

Evaluate  $P$  in  $a$

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### Interpolation:

$$P = \sum_{i=1}^k y_i \frac{\prod_{j \neq i} (X - a_j)}{\prod_{j \neq i} (a_i - a_j)}$$

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Polynomials	Integers
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Analogy: complexities over  $\mathbb{Z} \leftrightarrow$  over  $K[X]$

- ▶ size of coefficients
- ▶  $\mathcal{O}(\log \|\text{result}\| \times T_{\text{algebr.}})$
- ▶ degree of polynomials
- ▶  $\mathcal{O}(\deg(\text{result}) \times T_{\text{algebr.}})$

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- ▶  $\det(n, \|A\|) = \mathcal{O}^~(n \log |A| \times n^\omega)$
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- ▶  $\mathcal{O}(\deg(\text{result}) \times T_{\text{algebr.}})$
- ▶  $\det(n, d) = \mathcal{O}^~(nd \times n^\omega)$

# Early termination

Classic Chinese remaindering

Deterministic

- ▶ bound  $\beta$  on the result
- ▶ Choice of the  $m_i$ : such that  $m_1 \dots m_k > \beta$

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Probabilistic Monte Carlo

- ▶ For each new modulo  $m_i$ :
  - ▶ reconstruct  $y_i = f(x) \pmod{m_1 \times \dots \times m_i}$
  - ▶ If  $y_i == y_{i-1}$   $\Rightarrow$  terminated

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## Advantage:

- ▶ Adaptive number of moduli depending on the output value
- ▶ Interesting when
  - ▶ pessimistic bound: sparse/structured matrices, ...
  - ▶ no bound available

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# Informally

(Black-board)

# Problem Statement

## Definition (Rational Reconstruction Problem)

Let  $A \in K[X]$  of degree  $n$ , and  $B \in K[X]$  of degree  $< n$ .  
For a fixed  $k \in \{1 \dots n\}$ , find  $(R, V) \in K[X]$  satisfying:

$$\gcd(V, A) = 1, \deg(R) < k, \deg(V) \leq n - k \text{ and } R = VB \pmod{A}.$$

$A = X^n$ : Padé Approximation

$A = \prod_{i=1}^n (X - u_i)$ : Cauchy Interpolation

# Rational Reconstruction Problem

Existence of a solution:  $k + n - k + 1 = n + 1$  unknowns, for  $n$  equations

Uniqueness:  $A$  divides  $R_1 - V_1B$  and  $R_2 - V_2B$ . Thus  $A$  divides

$$R_1V_2 - R_2V_1 = (R_1 - V_1B)V_2 - (R_2 - V_2B)V_1$$

of degree  $< n$ .

# Algorithm

$$R = VB \mod A \Leftrightarrow VB + UA = R$$

**begin**

$R_0 \leftarrow A; U_0 \leftarrow 1; V_0 \leftarrow 0;$

$R_0 \leftarrow B; U_0 \leftarrow 0; V_0 \leftarrow 1;$

$i \leftarrow 1;$

**while**  $\deg R_i \geq k$  **do**

$(Q_i, R_{i+1}) \leftarrow \text{QuoRem}(R_{i-1}, R_i);$

$U_{i+1} \leftarrow U_{i-1} - Q_i U_i;$

$V_{i+1} \leftarrow V_{i-1} - Q_i V_i;$

$i \leftarrow i + 1;$

**if**  $\gcd(A, V_i) = 1$  **then**

**return**  $(R_i, V_i)$

**else**

**return** 0

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# Dense interpolation with errors

## Problem : CRT with errors

Given  $(y_i, m_i)$  for  $i = 1 \dots n$ ,

Find  $Y$  such that  $Y = y_i \pmod{m_i}$  on at least  $n - e$  values.

## CRT codes [Mandelbaum]

⇒ Based on Rational reconstruction

# Mandelbaum algorithm over $\mathbb{Z}$

## Chinese Remainder Theorem

$$x \in \mathbb{Z}$$



$x_1$	$x_2$	$\dots$	$x_k$
-------	-------	---------	-------

where  $m_1 \times \dots \times m_{\textcolor{red}{k}} > x$  and  $x_i = x \pmod{m_i} \forall i$

# Mandelbaum algorithm over $\mathbb{Z}$

## Chinese Remainder Theorem

$$x \in \mathbb{Z} \quad \longleftrightarrow \quad \begin{array}{|c|c|c|c|c|c|c|} \hline x_1 & x_2 & \dots & x_k & x_{k+1} & \dots & x_n \\ \hline \end{array}$$

where  $m_1 \times \dots \times m_{\textcolor{red}{n}} > x$  and  $x_i = x \pmod{m_i} \forall i$

# Mandelbaum algorithm over $\mathbb{Z}$

## Chinese Remainder Theorem

$$x \in \mathbb{Z} \longleftrightarrow \boxed{x_1 | x_2 | \dots | x_k | x_{k+1} | \dots | x_n}$$

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## Definition

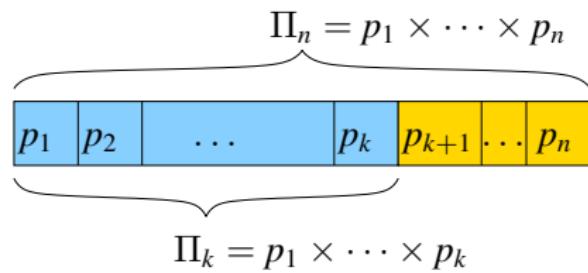
$(n, k)$ -code:  $C =$

$$\left\{ (x_1, \dots, x_{\textcolor{red}{n}}) \in \mathbb{Z}_{m_1} \times \dots \times \mathbb{Z}_{m_n} \text{ s.t. } \exists x, \begin{cases} x < m_1 \dots m_{\textcolor{red}{k}} \\ x_i = x \pmod{m_i} \forall i \end{cases} \right\}$$

# Principle

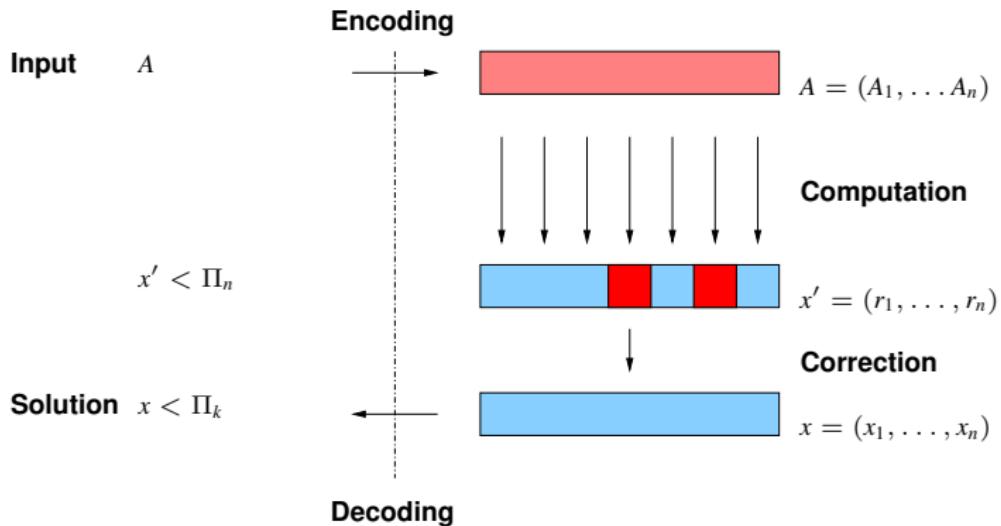
## Property

$X \in C$  iff  $X < \Pi_k$ .



Redundancy :  $r = n - k$

# ABFT with Chinese remainder algorithm



# Properties of the code

## Error model:

- ▶ Error:  $E = X' - X$
- ▶ Error support:  $I = \{i \in 1 \dots n, E \neq 0 \pmod{m_i}\}$
- ▶ Impact of the error:  $\Pi_F = \prod_{i \in I} m_i$

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Error model:

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Detects up to  $r$  errors:

If  $X' = X + E$  with  $X \in C$ ,  $\#I \leq r$ ,

then  $X' > \Pi_k$ .

- ▶ Redundancy  $r = n - k$ , distance:  $r + 1$
- ▶  $\Rightarrow$  can correct up to  $\lfloor \frac{r}{2} \rfloor$  errors in theory
- ▶ More complicated in practice...

# Correction

- ▶  $\forall i \notin I : E \bmod m_i = 0$
- ▶  $E$  is a multiple of  $\Pi_V$ :  $E = Z\Pi_V = Z \prod_{i \notin I} m_i$
- ▶  $\gcd(E, \Pi) = \Pi_V$

## Mandelbaum 78: rational reconstruction

$$\begin{aligned} X = X' - E &= X' - Z\Pi_V \\ \frac{X}{\Pi} &= \frac{X'}{\Pi} - \frac{Z}{\Pi_F} \end{aligned}$$

$$\Rightarrow \left| \frac{X'}{\Pi} - \frac{Z}{\Pi_F} \right| \leq \frac{1}{2\Pi_F^2}$$

$\Rightarrow \frac{Z}{\Pi_F} = \frac{E}{\Pi}$  is a convergent of  $\frac{X'}{\Pi}$

$\Rightarrow$  Rational reconstruction of  $X' \bmod \Pi$

$\Rightarrow$  Extended Euclidean Algorithm interrupted

# Correction capacity

Mandelbaum 78:

- ▶ 1 symbol = 1 residue
- ▶ Polynomial time algorithm if  $e \leq (n - k) \frac{\log m_{\min} - \log 2}{\log m_{\max} + \log m_{\min}}$
- ▶ worst case: exponential (random perturbation)

Goldreich Ron Sudan 99 weighted residues  $\Rightarrow$  equivalent  
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Interpretation:

- ▶ Errors have variable weights depending on their impact  
 $\Pi_F = \prod_{i \in I} m_i$
- ▶ Example:  $m_1 = 2, m_2 = 3, m_3 = 101$ 
  - ▶ 1 error mod 2 or mod 3, can be corrected, not mod 101
  - ▶ limits to  $|X| < 2$ , where  $|X| < 17$  is sufficient

## Analogy with Reed Solomon

Dornstetter 87 Berlekamp/Massey  $\Leftrightarrow$  extended Euclidean Alg.

Gao02 Reed-Solomon decoding by extended Euclidean Alg.

- ▶ Chinese Remaindering over  $K[X]$
- ▶  $m_i = X - a_i$
- ▶ Encoding = evaluation in  $a_i$
- ▶ Decoding = interpolation
- ▶ Correction = Extended Euclidean algorithm

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  - ▶  $m_i = X - a_i$
  - ▶ Encoding = evaluation in  $a_i$
  - ▶ Decoding = interpolation
  - ▶ Correction = Extended Euclidean algorithm
- ⇒ Generalization for  $m_i$  of degrees  $> 1$
- ⇒ Variable impact, depending on the degree of  $m_i$
- ⇒ Necessary unification [Sudan 01,...]

## Generalized point of view: amplitude code

Over a Euclidean ring  $\mathcal{A}$  with a **Euclidean function  $\nu$** ,  
multiplicative and sub-additive, ie such that

$$\begin{aligned}\nu(ab) &= \nu(a)\nu(b) \\ \nu(a+b) &\leq \nu(a) + \nu(b)\end{aligned}$$

eg.

- ▶ over  $\mathbb{Z}$ :  $\nu(x) = |x|$
- ▶ over  $K[X]$ :  $\nu(P) = 2^{\deg(P)}$

### Definition

Error impact between  $x$  and  $y$ :  $\Pi_F = \prod_{i|x \neq y[m_i]} m_i$

Error amplitude:  $\nu(\Pi_F)$

# Amplitude codes

## Distance

$$\begin{aligned}\Delta : \quad \mathcal{A} \times \mathcal{A} &\rightarrow \quad \mathbb{R}_+ \\ (x, y) &\mapsto \sum_{i|x \neq y[m_i]} \log_2 \nu(m_i)\end{aligned}$$

$$\Delta(x, y) = \log_2 \nu(\Pi_F)$$

## Definition $((n, k)$ amplitude code)

Given  $\{m_i\}_{i \leq m}$  pairwise rel. prime, and  $\kappa \in \mathbb{R}_+$  The set

$$C = \{x \in \mathcal{A} : \nu(x) < \kappa\},$$

$n = \log_2 \prod_{i \leq m} m_i, k = \log_2 \kappa.$  is a  $(n, k)$  amplitude code.

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## Property (Quasi MDS)

$$\forall (x, y) \in C$$

$$\Delta(x, y) > n - k - 1$$

⇒ correction capacity = maximal amplitude of an error that can be corrected

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## Property (Quasi MDS)

$\forall (x, y) \in C, \mathcal{A} = K[X]$

$$\Delta(x, y) \geq n - k + 1$$

$\sim$  Singleton bound

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## Advantages

- ▶ Generalization over any Euclidean ring
- ▶ Natural representation of the amount of information
- ▶ No need to sort moduli
- ▶ Finer correction capacities

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- ▶ Finer correction capacities
- ▶ **Adaptive decoding:** taking advantage of all the available redundancy
- ▶ **Early termination:** with no a priori knowledge of a bound on the result

# Interpretation of Mandelbaum's algorithm

## Remark

*Rational reconstruction*  $\Rightarrow$  *Partial Extended Euclidean Algorithm*

## Property

*The Extended Euclidean Algorithm, applied to  $(\Pi, E)$  and to  $(X' = X + E, \Pi)$ , performs the same first iterations until  $r_i < \Pi_V$ .*

$$\begin{array}{c|c} u_{i-1}\Pi + v_{i-1}E = \Pi_v & u_{i-1}\Pi + v_{i-1}X' = r_{i-1} \\ u_i\Pi + v_iE = 0 & u_i\Pi + v_iX' = r_i \\ \hline & \Rightarrow v_iX = r_i \end{array}$$

# Amplitude decoding, with static correction capacity

Amplitude based decoder over  $R$

**Input:**  $\Pi, X'$

**Input:**  $\tau \in \mathbb{R}_+ \mid \tau < \frac{\nu(\Pi)}{2}$ : bound on the maximal error amplitude

**Output:**  $X \in R$ : corrected message s.t.  $\nu(X)4\tau^2 \leq \nu(\Pi)$

**begin**

$u_0 = 1, v_0 = 0, r_0 = \Pi;$

$u_1 = 0, v_1 = 1, r_1 = X';$

$i = 1;$

**while**  $(\nu(r_i) > \nu(\Pi)/2\tau)$  **do**

    Let  $r_{i-1} = q_i r_i + r_{i+1}$  be the Euclidean division of  $r_{i-1}$  by  $r_i$ ;

$u_{i+1} = u_{i-1} - q_i u_i;$

$v_{i+1} = v_{i-1} - q_i v_i;$

$i = i + 1;$

**return**  $X = \frac{r_i}{v_i}$

- reaches the quasi-maximal correction capacity

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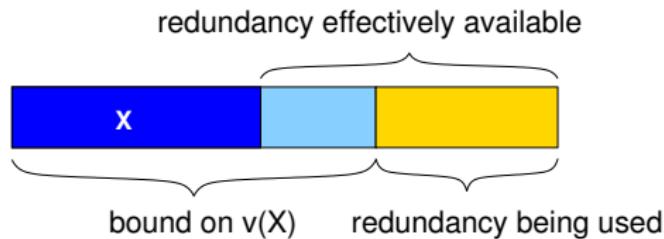
**return**  $X = \frac{r_i}{v_i}$

- ▶ reaches the quasi-maximal correction capacity
- ▶ requires an *a priori* knowledge of  $\tau$ 
  - ⇒ How to make the correction capacity adaptive?

# Adaptive approach

Multiple goals:

- With a fixed  $n$ , the correction capacity depends on a bound on  $v(X)$ 
  - ⇒ pessimistic estimate
  - ⇒ how to take advantage of all the available redundancy?



# A first adaptive approach: divisibility check

Termination criterion in the Extended Euclidean alg.:

- ▶  $u_{i+1}\Pi + v_{i+1}E = 0$ 
  - ⇒  $E = -u_{i+1}\Pi/v_{i+1}$
  - ⇒ test if  $v_j$  divides  $\Pi$
- ▶ check if  $X$  satisfies:  $\nu(X) \leq \frac{\nu(\Pi)}{4\nu(v_j)^2}$
- ▶ But several candidates are possible
  - ⇒ discrimination by a post-condition on the result

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## Example

$m_i$	3	5	7
$x_i$	2	3	2

- ▶  $x = 23$  with 0 error
- ▶  $x = 2$  with 1 error

# Detecting a gap

$$u_i\Pi + v_i(X + E) = r_i \quad \Rightarrow \quad u_i\Pi + v_iE = r_i - v_iX$$



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# Detecting a gap

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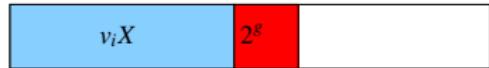


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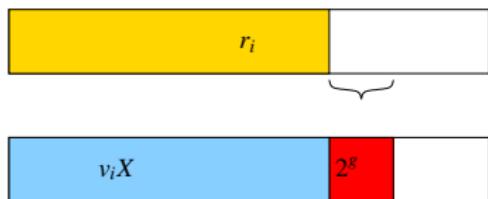


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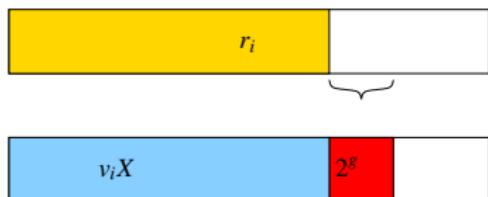


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## Property

- ▶ *Loss of correction capacity: very small in practice*
- ▶ *Test of the divisibility for the remaining candidates*
- ▶ *Strongly reduces the number of divisibility tests*

# Experiments

Size of the error	10	50	100	200	500	1000
$g = 2$	1/446	1/765	1/1118	2/1183	2/4165	1/7907
$g = 3$	1/244	1/414	1/576	2/1002	2/2164	1/4117
$g = 5$	1/53	1/97	1/153	2/262	1/575	1/1106
$g = 10$	1/1	1/3	1/9	1/14	1/26	1/35
$g = 20$	1/1	1/1	1/1	1/1	1/1	1/1

**Table:** Number of remaining candidates after the gap detection:  $c/d$  means  $d$  candidates with a gap  $> 2^g$ , and  $c$  of them passed the divisibility test.  $n \approx 6001$  (3000 moduli),  $\kappa \approx 201$  (100 moduli).

# Experiments

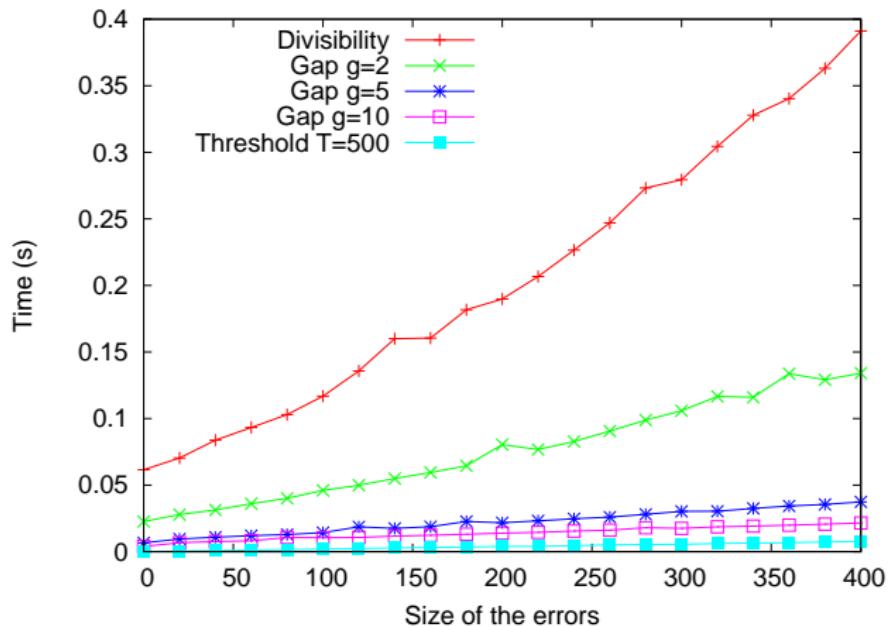


Figure: Comparison for  $n \approx 26\,016$  ( $m = 1300$  moduli of 20 bits),  $\kappa \approx 6001$  (300 moduli) and  $\tau \approx 10007$  (about 500 moduli).

# Experiments

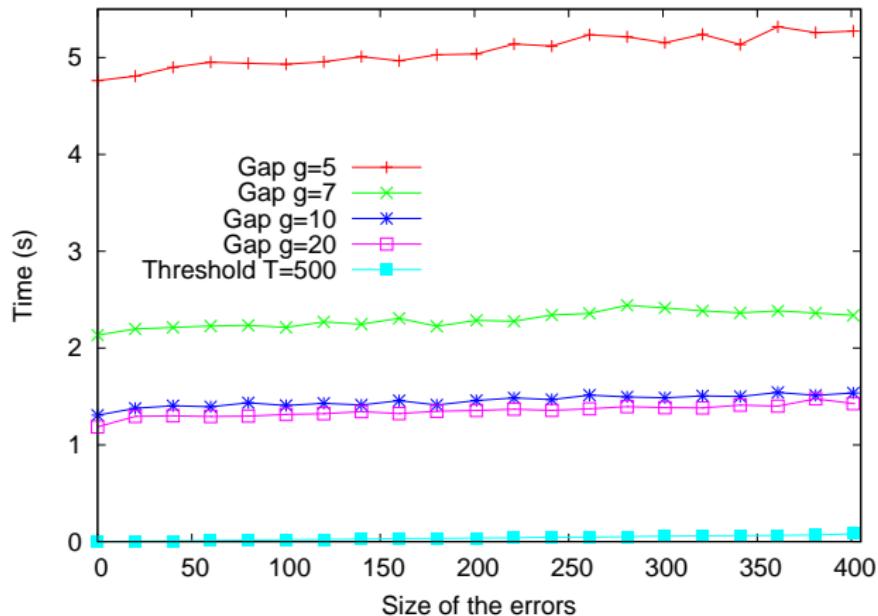


Figure: Comparison for  $n \approx 200\,917$  ( $m = 10000$  moduli of 20 bits),  $\kappa \approx 17\,0667$  (8500 moduli) and  $\tau \approx 10498$  (500 moduli).

Gap: Euclidean Algorithm down to the end  $\Rightarrow$  overhead

# Dense rational function interpolation with errors (Cauchy interpolation)

$$y_i = \frac{f(x_i)}{g(x_i)}$$

## Rational function interpolation: Pade approximant

- ▶ Find  $h \in K[X]$  s.t.  $h(x_i) = y_i$  (interpolation)
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Introducing an error of impact  $\Pi_F = \prod_{i \in I} (X - x_i)$ :

$$hg\Pi_F = f\Pi_F \pmod{\prod(X - x_i)}$$

## Property

If  $n \geq \deg f + \deg g + 2e$ , one can interpolate with at most  $e$  errors