

Proofs About Programs Involving Computational Effects

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Motivation

- ▶ Verifying properties of programs involving computational effects such as:
 - ▶ State (Side Effect)
 - ▶ Exceptions
 - ▶ IO
 - ▶ Partiality
 - ▶ ...

by using categorical semantics: cartesian effect categories [Dumas et al'12].

- ▶ Developing related Coq libraries for each effect and composing them in the end.

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- ▶ Developing related Coq libraries for each effect and composing them in the end.

Specification for States Effect

Objects: Sets

- ▶ Val_i (values), $\text{void} (\{*\})$, S (states)

Morphisms: Functions

- ▶ $[[\text{id}_i]] : \text{Val}_i \rightarrow \text{Val}_i$, $[[\text{id}_{\text{void}}]] : \text{void} \rightarrow \text{void}$
- ▶ $[[\text{lookup}_i]] : S \rightarrow \text{Val}_i$, $[[\text{update}_i]] : \text{Val}_i \times S \rightarrow S$
where i is a memory location (variable).

Functions are classified and decorated:

- ▶ **pure**: e.g., $\text{id}_i^{\text{pure}} : \text{Val}_i \rightarrow \text{Val}_i$, $\text{id}_{\text{void}}^{\text{pure}} : \text{void} \rightarrow \text{void}$
- ▶ **accessors**: e.g., $\text{lookup}_i^{\text{ro}} : \text{void} \rightarrow \text{Val}_i$
- ▶ **modifiers**: e.g., $\text{update}_i^{\text{rw}} : \text{Val}_i \rightarrow \text{void}$
- ▶ Hierarchy rules among functions: $\frac{f^{\text{pure}}}{f^{\text{ro}}}$, $\frac{f^{\text{ro}}}{f^{\text{rw}}}$

Equations with decorated functions are classified:

- ▶ **strong equality** ($f == g$): result + effect
- ▶ **weak equality** ($f \sim g$): result
- ▶ Hierarchy rules among equations:

$$\frac{f^{\text{rw}} == g^{\text{rw}}}{f^{\text{rw}} \sim g^{\text{rw}}}, \frac{f^{\text{ro}} \sim g^{\text{ro}}}{f^{\text{ro}} == g^{\text{ro}}}$$

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“Core” Operations: Update & Lookup

Let Loc be the set of locations. For each location $i \in Loc$:

- ▶ the set of values, that could be stored in it, is denoted by Val_i
- ▶ there are two main operations and equations

| the value | | the stored value |
|---------------|---------------------------------|------------------|
| $a \in Val_i$ | $\xrightarrow{\text{update}_i}$ | \boxed{a}_i |
| $a \in Val_i$ | $\xleftarrow{\text{lookup}_i}$ | \boxed{a}_i |

$\text{lookup}_i : l \rightarrow Val_i$
 $\text{update}_i : Val_i \rightarrow l$
 $\text{lookup}_i \circ \text{update}_i \sim \text{id}_{Val_i}$
(axiom-1)
 $\text{update}_i \circ \text{lookup}_i == \text{id}_l$
(axiom-2)



$\text{lookup}_i : S \rightarrow Val_i$
 $\text{update}_i : Val_i \times S \rightarrow S$
 $b \mapsto \boxed{a}_i \mapsto b \sim b \mapsto b$
 $\boxed{a}_i \mapsto a \mapsto \boxed{a}_i == a \mapsto a$

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| $a \in Val_i$ | $\xleftarrow{\text{lookup}_i}$ | \boxed{a}_i |

```
lookupi : 1 → Vali
updatei : Vali → 1
lookupi ∘ updatei ~ idVali
(axiom-1)
updatei ∘ lookupi == id1
(axiom-2)
```



```
lookupi : S → Vali
updatei : Vali × S → S
b ↦  $\boxed{a}_i$  ↦ b ~ b ↦ b
 $\boxed{a}_i$  ↦ a ↦  $\boxed{a}_i$  == a ↦ a
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$\text{lookup}_i : 1 \rightarrow Val_i$
 $\text{update}_i : Val_i \rightarrow 1$
 $\text{lookup}_i \circ \text{update}_i \sim \text{id}_{Val_i}$
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$\text{lookup}_i : S \rightarrow Val_i$
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 $b \mapsto \boxed{a}_i \mapsto b \sim b \mapsto b$
 $\boxed{a}_i \mapsto a \mapsto \boxed{a}_i == a \mapsto a$

Decorated Rules: Monadic Equational Logic

$$(s\text{-subs}) \frac{f^{rw} : X \rightarrow Y \quad g_1^{rw} == g_2^{rw} : Y \rightarrow Z}{g_1 \circ f == g_2 \circ f : X \rightarrow Z}$$

$$(s\text{-repl}) \frac{f_1^{rw} == f_2^{rw} : X \rightarrow Y \quad g^{rw} : Y \rightarrow Z}{g \circ f_1 == g \circ f_2 : X \rightarrow Z}$$

$$(pure\text{-to-ro}) \frac{f^{pure}}{f^{ro}} \quad (ro\text{-to-rw}) \frac{f^{ro}}{f^{rw}}$$

$$(ro\text{-w-to-s}) \frac{f^{ro} \sim g^{ro}}{f == g} \quad (s\text{-to-w}) \frac{f^{rw} == g^{rw}}{f \sim g}$$

$$(w\text{-subs}) \frac{f^{rw} : X \rightarrow Y \quad g_1^{rw} \sim g_2^{rw} : Y \rightarrow Z}{g_1 \circ f \sim g_2 \circ f : X \rightarrow Z}$$

$$(pure\text{-w-repl}) \frac{f_1^{rw} \sim f_2^{rw} : X \rightarrow Y \quad g^{pure} : Y \rightarrow Z}{g \circ f_1 \sim g \circ f_2 : X \rightarrow Z}$$

Decorated Rules: Sequential Products

$$\begin{array}{ccccc} X_1 & \xrightarrow{\quad} & Y_1 & \xrightarrow{\quad} & Y_1 \\ \pi_1 \uparrow & & \uparrow \pi_1 & & \pi_1 \uparrow \\ & \xrightarrow{f_1^{rw}} & & \xrightarrow{id^{pure}} & \\ & = & & \sim & \\ X_1 \times X_2 & \xrightarrow{-f_1 \times id} & Y_1 \times X_2 & \xrightarrow{-id \times f_2} & Y_1 \times Y_2 \\ \pi_2 \downarrow & & \downarrow \pi_2 & & \pi_2 \downarrow \\ & \xrightarrow{id^{pure}} & & \xrightarrow{f_2^{rw}} & \\ & \sim & & = & \\ X_2 & \xrightarrow{\quad} & X_2 & \xrightarrow{\quad} & Y_2 \end{array}$$

$$\text{(dec-prod-proj-1)} \quad \frac{f_1^{pure} : X_1 \rightarrow Y_1 \quad f_2^{rw} : X_2 \rightarrow Y_2}{\pi_{Y_1, Y_2, 1} \circ (f_1 \times f_2) \sim f_1 \circ \pi_{X_1, X_2, 1}}$$

$$\text{(dec-p-prod-proj-1)} \quad \frac{f_1^{rw} : X_1 \rightarrow Y_1 \quad f_2^{pure} : X_2 \rightarrow Y_2}{\pi_{Y_1, Y_2, 1} \circ (f_1 \times f_2)_p = f_1 \circ \pi_{X_1, X_2, 1}}$$

$$\text{(dec-prod-proj-2)} \quad \frac{f_1^{pure} : X_1 \rightarrow Y_1 \quad f_2^{rw} : X_2 \rightarrow Y_2}{\pi_{Y_1, Y_2, 2} \circ (f_1 \times f_2) = f_2 \circ \pi_{X_1, X_2, 2}}$$

$$\text{(dec-p-prod-proj-2)} \quad \frac{f_1^{rw} : X_1 \rightarrow Y_1 \quad f_2^{pure} : X_2 \rightarrow Y_2}{\pi_{Y_1, Y_2, 2} \circ (f_1 \times f_2)_p \sim f_2 \circ \pi_{X_1, X_2, 2}}$$

Properties of the State Structure by Plotkin et al.

1. Annihilation lookup-update

$$\forall i \in Loc, u_i \circ l_i == id_1 : 1 \rightarrow 1$$

2. Interaction lookup-lookup

$$\forall i \in Loc, l_i \circ \langle \rangle_i \circ l_i == l_i : 1 \rightarrow V_i$$

3. Interaction update-update

$$\forall i \in Loc, u_i \circ \pi_2 \circ (u_i \times id_i) == u_i \circ \pi_2 : V_i \times V_i \rightarrow 1$$

4. Interaction update-lookup

$$\forall i \in Loc, l_i \circ u_i \sim id_i : V_i \rightarrow V_i$$

5. Commutation lookup-lookup $\forall i \neq j \in$

$$Loc, (id_i \times l_j) \circ l_i == perm_{j,i} \circ (id_j \times l_i) \circ l_j : 1 \rightarrow V_i \times V_j$$

6. Commutation update-update $\forall i \neq j \in$

$$Loc, u_j \circ \pi_2 \circ (u_i \times id_j) == u_i \circ \pi_1 \circ (id_i \times u_j) : V_i \times V_j \rightarrow 1$$

7. Commutation update-lookup $\forall i \neq j \in Loc, l_j \circ u_i \circ \pi_1 ==$

$$\pi_2 \circ (u_i \times id_j) \circ (id_i \times l_j) : V_i \times 1 \rightarrow V_j .$$

A Simple Example [Plotkin-Power'02]

u_i^{rw} is update_i^{rw}

Interaction update-update: $\forall i \in \text{Loc},$
 $u_i^{rw} \circ \pi_2^{\text{pure}} \circ (u_i \times id_i)^{rw} == u_i^{rw} \circ \pi_2^{\text{pure}} : \text{Val}_i \times \text{Val}_i \rightarrow \text{void}$

| Example | Inference System | Proof Assistant: Coq |
|--|---|---|
| $i := 2; i := 3;$ $==$ $i := 3;$ | $ \begin{array}{ccc} 2 & \xrightarrow{u_i} & * \\ \pi_1 \uparrow & \text{==} & \uparrow \pi_1 \\ (2, 3) & \xrightarrow{u_i \times id_i} & (*, 3) \\ \pi_2 \downarrow & \sim & \downarrow \pi_2 \\ 3 & \xrightarrow{id_i} & 3 \xrightarrow{u_i} * \\ & \text{==} & \\ (2, 3) & \xrightarrow{\pi_2} & 3 \xrightarrow{u_i} * \end{array} $ | $u_i \circ \pi_2 \circ (\text{pprod}(u_i \text{ id}))$ $==$ $u_i \circ \pi_2$ |

Coq Implementation of a Proof: Interaction update-update

obs-local-global

2 subgoals $i : \text{Loc}$

$s : \text{forall } (X : \text{Type}) (f g : \text{term } () X),$

$(\text{forall } i : \text{Loc}, \text{lookup } i \circ f \sim \text{lookup } i \circ g) \rightarrow f == g$

$\text{Heqs} : s = \text{obs_local_global}$

$k : \text{Loc}$

$e : i = k$

===== (1/2)

$\text{lookup } i \circ ((\text{update } i \circ \text{pi2}) \circ \text{perm_prod } (\text{update } i) \text{ id}) \sim$

$\text{lookup } i \circ (\text{update } i \circ \text{pi2})$

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Coq Implementation of a Proof: Interaction update-update

dec-p-prod-proj-2

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$k : \text{Loc}$

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Coq Implementation of a Proof: Interaction update-update

...

1 subgoal

$i : \text{Loc}$

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$k : \text{Loc}$

$n0 : i \neq k$

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$\text{lookup } k \circ ((\text{update } i \circ \text{pi2}) \circ \text{perm_prod } (\text{update } i) \text{ id}) \sim$

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So far & Next

1. Preliminary results : A Coq library for the global states effect (≈ 1700 LoC) and a related submission to JFLA14
 - ▶ Library is available: <http://coqeffects.forge.imag.fr/>
2. Future work :
 - ▶ developing the framework for local state (allocation)
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